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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the U.S. Application of

**Daniel BREEN et al.**

Group Art Unit: 3692

U.S. Serial No.: 10/820,876

Examiner: SUBRAMANIAN, Narayanswamy

Filed: April 9, 2004

For: **SYSTEM AND METHOD FOR INTEGRATING A CONVERTIBLE  
SECURITY WITH A CALL SPREAD**

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Nil

**RESPONSE TO FINAL OFFICE ACTION**

Sir:

In response to the final Office Action mailed February 22, 2007 ("the Office Action"), the undersigned representative respectfully requests reconsideration of the application in light of the following amendments and remarks.

**Amendments to the Claims** are reflected in the Listing of Claims on page 2 of this paper.

**Remarks** begin on page 8 of this paper.